

Man Investments AG

Information Document



January 2022

Man Investments AG ("MIAG") information document regarding services, activities, products and operations offered to its clients (the "MIAG Information Document")

a) Name and address of MIAG (art. 8 para. 1 letter a FinSA)

Man Investments AG ("**MIAG**"), located at Huobstrasse 3, 8808 Pfäffikon SZ, Switzerland, is a wholly owned subsidiary of Man Group plc.

b) Field of Activity and supervisory status (art. 8 para. 1 letter b FinSA)

MIAG provides the financial service of "acquisition and disposal of financial instruments" pursuant to art. 3 letter c cipher 1 FinSA via its webpage www.man.com.

It is authorised and supervised by the Swiss Financial Market Supervisory Authority FINMA, Laupenstrasse 27, CH-3003 Bern, Switzerland (www.finma.ch) as Representatives of foreign collective investment schemes.

c) Possibility of initiating mediation proceedings before a recognised ombudsman (art. 8 para. 1 letter c FinSA)

MIAG has affiliated itself with Verein Ombudsstelle Finanzdienstleister (OFD) at Bleicherweg 10, CH-8002 Zürich, which is a recognised ombudsman office of the Federal Department of Finance in accordance with Art. 84 para. 1 FINSA. Licence of 24 June 2020. Mediation proceedings before a recognized ombudsman may be initiated in accordance with Title 5 FinSA.

d) The general risks associated with financial instruments (art. 8 para. 1 letter d FinSA)

Financial instruments are always associated with risks. These can turn out to be to your advantage or to your disadvantage. We ask you to consult the legal documentation (prospectus, offering document etc.) of the financial instruments offered by MIAG or the following publication on special risks in securities trading: Risks in securities trading with financial instruments of the Swiss Bankers Association, available for download at www.swissbanking.org

If you have any questions, please contact your relationship manager at MIAG.

e) The financial service personally recommended and the associated risks and costs (art. 8 para. 2 letter a FinSA)

MIAG only offers financial instruments to you. It does neither take any investment decision for you nor recommend any financial instrument to you. All investment decisions are taken by yourself and you will be responsible for the consequences.

The costs involved with the offered financial instruments are disclosed in the legal documentation (prospectus, offering document etc.) of the financial instruments offered by MIAG. MIAG does not charge you any additional costs for the offering of financial instruments.

f) The business affiliations with third parties in connection with the financial service offered (art. 8 para. 2 letter b FinSA)

MIAG is part of the Man group of companies ("Man Group"). The ultimate holding company is Man Group plc. You may find more information on Man Group on the website www.man.com.

g) The market offer taken into account when selecting the financial instruments (art. 8 para. 2 letter c FinSA)

MIAG only offers financial instruments offered by Man Group. MIAG does, thus, not offer any financial instruments sponsored or managed by other asset managers. The market offer taken into account by MIAG is, thus, limited to financial instruments of Man Group.

This document is subject to regular review and may change. A revised version of this information document is available from your relationship manager or from 1.1.2022 under the following link: <https://www.man.com/miag-information-document>